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16569 U.S. PTO

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	12251
First Inventor	MARION HEINZ ET AL
Title	ISOCYANATE ADDUCTS
Express Mail Label No.	EL900587909US

107/35426  
U.S. PTO

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages (16)]  
(preferred arrangement set forth below)  
Descriptive title of the invention  
Cross reference to related applications  
Statement regarding Fed sponsored R & D  
Reference to sequence listing, a table, or a  
Computer program listing appendix  
Background of the Invention  
Brief Summary of the invention  
Brief Description of the Drawings  
Detailed description  
Claim(s)  
Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) [Total Sheets ( )]
5.  Oath or Declaration [Total Pages (4)]  
a.  Newly executed (original copy)  
b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Mailstop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement  Copies of IDS  
(IDS)/PTO-1449 Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Request and Certification under 35 USC 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17.  Other: Application Data Sheet

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data sheet under 37 CFR. 76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application no.: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

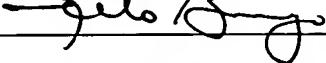
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

X Customer Number or Bar Code Label

28484  
(Insert Customer No. or Attach bar code label here)or  Correspondence address below

Name				
Address				
City	State		Zip Code	
Country	Telephone		Fax	

Name (Print/Type)	FERNANDO A. BORREGO	Registration No. (Attorney/Agent)	34,780
Signature			Date
		12/12/03	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1172.00)

Complete if Known

Application Number	N/A
Filing Date	Herewith
First Named Inventor	Marion Heinz et al
Examiner Name	N/A
Group / Art Unit	N/A
Attorney Docket No.	12251

## METHOD OF PAYMENT (check one)

1. X The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No. **23-3425**  
Deposit Account Name **BASF CORPORATION**

Charge Any Additional Fee Required  
 Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status.  
 See 37 CFR 127

2. Payment Enclosed:  
 Check  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	\$770.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)		(\$)		770.00	

## 2. EXTRA CLAIM FEES

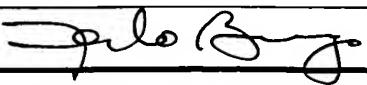
		Extra Claims	Fee from below	Fee Paid	
Total Claims	24	-20**=	4	X 18 = 72	
Indep. Claims	2	-3**=	0	X 0 = 0	
Multiple Dependent				290.00 = 290	

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	86	202	40	Independent claims in excess of 3	
104	290	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$)		362.00	

## 3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130		205	65	Surcharge - late filing fee or oath	
	127	50		227	25	Surcharge - late provisional filing fee or cover sheet	
	139	130		139	130	Non-English specification	
	147	2,520		147	2,520	For filing a request for reexamination	
	112	920*		112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840*		113	1,840*	Requesting publication of SIR after Examiner action	
	115	110		215	55	Extension for reply within first month	
	116	390		216	195	Extension for reply within second month	
	117	890		217	445	Extension for reply within third month	
	118	1,390		218	695	Extension for reply within fourth month	
	128	1,890		228	945	Extension for reply within fifth month	
	119	310		219	155	Notice of Appeal	
	120	310		220	155	Filing a brief in support of an appeal	
	121	270		221	135	Request for oral hearing	
	138	1,510		138	1,510	Petition to institute a public use proceeding	
	140	110		240	55	Petition to revive – unavoidable	
	141	1,240		241	620	Petition to revive – unintentional	
	142	1,240		242	620	Utility issue fee (or reissue)	
	143	440		243	220	Design issue fee	
	144	600		244	300	Plant issue fee	
	122	130		122	130	Petitions to the Commissioner	
	123	50		123	50	Petitions related to provisional applications	
	126	240		126	240	Submission of Information Disclosure Stmt	
	581	40		581	40	Recording each patent assignment per property (times number of properties)	40.00
	146	710		246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
	149	710		249	355	For each additional invention to be examined (37 CFR 1.129(b))	
	Other fee (specify)						
	Other fee (specify)						
	*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$)	40.00

Typed or Printed Name	FERNANDO A. BORREGO	Registration No. (Attorney/Agent)	34,780	Telephone	(734) 324-6193
Signature				Date	12/12/03